#### **Issues in neoclassical MHD modeling**

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## Introduction

- Neoclassical processes have important influences on a number of phenomena in toroidal confinement devices
  - Damping of fluid flows
  - Neoclassical tearing modes
  - Polarization island thresholds
- Theoretical modeling used in numerical simulations
  - Neofar, NIMROD, NFTC, M3D
- Further theoretical developments are under way
  - MHD perturbation induced toroidal viscosity
  - Time dependent viscosities

## Neoclassical physics is important in high temperature tokamaks

- Neoclassical theory is usually formulated in the language of viscosities with a small parameter ( $\rho_L/a \ll 1$ ).
  - To leading order, viscous forces damps flows in the direction of magnetic field asymmetry . Viscous force ~  $v \nabla |B|$
  - For axisymmetric equilibrium

$$< \overset{\Upsilon}{B} \cdot \nabla \cdot \Pi_{\parallel} >= \rho < B^{2} > \mu \frac{\overset{L}{u} \cdot \nabla \theta}{\overset{\Upsilon}{B} \cdot \nabla \theta}$$
$$< \overset{\Upsilon}{B}_{T} \cdot \nabla \cdot \Pi_{\parallel} >= 0$$

-  $\mu$  = flow damping frequency (collisionality dependent),

 $\mu \sim \epsilon^{0.5} \nu$  at low collisionality

- Viscous force on ions damps poloidal ion flow
- Viscous force on electrons enters through Ohm's law leads to bootstrap current and neoclassical modification to the plasma resistivity

## For numerical simulation, expressions for local viscosities are required

- Neoclassical theory usually used to describe transport processes across flux surfaces flux surface averaged quantities are evaluated.
  - Requirement for numerical simulations = local quantities in temporal/spatially/topologically evolving magnetic fields.
  - "Heuristic" closure (Gianakon, et al)

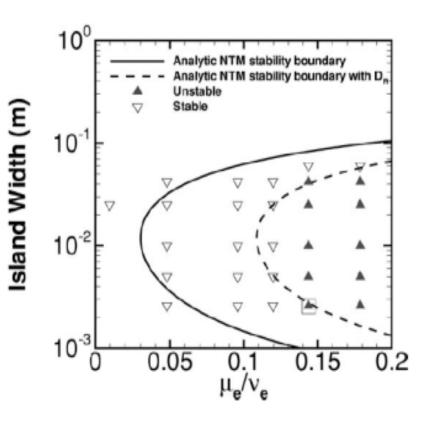
$$\nabla \cdot \overset{\mathbf{r}}{\Pi}_{\parallel} = \frac{\overset{\mathbf{r}}{e}_{\theta}}{B \cdot \overset{\mathbf{r}}{e}_{\theta}} \overset{\mathbf{r}}{B} \cdot \nabla \cdot \overset{\mathbf{r}}{\Pi}_{\parallel}$$
$$\overset{\mathbf{r}}{B} \cdot \nabla \cdot \overset{\mathbf{r}}{\Pi}_{\parallel} = \mu \frac{\overset{\mathbf{r}}{v} \cdot \nabla \theta}{\overset{\mathbf{r}}{B} \cdot \nabla \theta} \rho_{M} B^{2}$$

- Correctly retains all the conservation properties of the actual viscosities,
- Correctly reproduces the linear and nonlinear properties of NTM physics obtained from kinetic theory predictions

### The use of the approximate closure schemes allow Neoclassical Tearing Mode simulations to be performed

• In these simulations, anisotropic thermal Conductions  $(\chi_{\parallel}/\chi_{perp})$ ~ 10<sup>10)</sup> provides a neoclassical tearing mode threshold. (no two fluid effects) S = 2.7×10<sup>6</sup>

• Reproduces expected NTM behavior in experimentally relevant parameter ranges



## Modifications to neoclassical theory are needed to completely model MHD phenomena in tokamaks

- Toroidal viscosity due to 3-D perturbations (Shaing, et al '02)
  - In the presence of a MHD perturbation, a three-dimensional perturbation is introduced- [B =  $B_o(\psi,\theta) + \Sigma_{mn} b_{mn} \cos(m\theta n\zeta)$ ],
  - For a magnetic island

$$\frac{B}{B_o} = 1 - \frac{r}{R_o} \cos\theta \pm \frac{w}{R_o} \sqrt{\frac{\Psi^* + \cos(m\theta - n\zeta)}{2}}$$

- Time dependent viscosities for "fast" temporally varying phenomena (Garcia-Perciante et al '02)
  - Work in progress

#### Nonaxisymmetric physics affects toroidal rotation

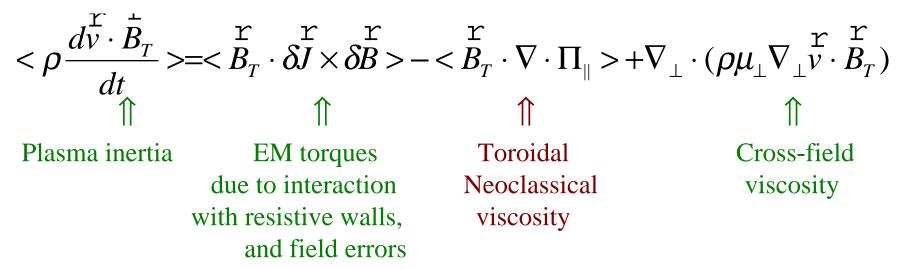
- Nonaxisymmetric neoclassical fluxes present with nonzero  $b_{mn}$ . [B = B<sub>o</sub>( $\psi$ , $\theta$ ) +  $\Sigma_{mn}$  b<sub>mn</sub>cos(m $\theta$ -n $\zeta$ )],  $\omega_{Dr} = T_i/eBRr$ 
  - Nonresonant (plateau regime) [Shaing, et al PF '86; Smolyakov '95]  $\overset{r}{B}_{T} \cdot \nabla \cdot \Pi \cong -\rho_{M} I \frac{v_{thi}}{qR} \Sigma_{mn} \frac{n^{2}}{n - m/q} \frac{b_{mn}^{2}}{B_{o}^{2}} [\omega_{E} - \omega_{i}^{*} (1 + k_{o} \eta_{i})]$
  - Resonant "1/v" regime  $[v_i/\varepsilon > (w/R_o)\omega_D]$  (Shaing, PRL '01]  $\overset{\Upsilon}{B}_T \cdot \nabla \cdot \Pi \cong -\rho_M I \varepsilon^{3/2} \frac{\omega_{Dr}^2 m^2}{v_i} \frac{w^2}{R^2} H(\frac{x}{w}) [\omega_E - \omega_i^* (1 + k_1 \eta_i)]$
  - Resonant "superbanana"  $[v_i/\varepsilon < (w/R_o)\omega_D]$  (Shaing, IAEA '02]  $\stackrel{\Upsilon}{B}_T \cdot \nabla \cdot \Pi \cong -\rho_M I \frac{v_i}{\sqrt{\varepsilon}} \frac{\omega_{Dr}^2}{\omega_E^2} \frac{w^2}{R^2} G(\frac{x}{w}) [\omega_E - \omega_i^* (1 + k_2 \eta_i)]$
  - Transition formulae for different "colllisionality regimes" can be generated

## The emergence of toroidal viscosity affects a number of important MHD processes

- Interaction of toroidal flow velocity and MHD modes
  - Neoclassical viscosity damps toroidal flow in the presence of 3-D perturbations (Lazzero, et al 'PoP '02; Sabbagh et al '02; LaHaye et al '01) -Different mechanism than slowing due to localized torques due
- Effect of neoclassical polarization currents for NTM physics
  - Enhances polarization current from Wilson, et al calculation
  - Introduces dissipation damps polarization currents contributes to the determination of the mode frequency

#### **Toroidal flow evolution determined by a number of effects**

#### • Parallel momentum balance



- Unlike the EM torques which are localized to the vicinity of the rational surfaces, the toroidal neoclassical viscosity describes damping throughout the plasma cross-section

# Heuristic model for neoclassical physics can be expanded to include toroidal viscosities

• A phenomenological fluid-like model is suggested to describe the toroidal viscosity modification with viscous damping frequencies  $\nabla \cdot \Pi_{i} = \frac{\nabla \theta}{B \cdot \nabla \theta} \begin{bmatrix} \nabla \cdot \nabla \cdot \Pi_{i} - B_{T} \cdot \nabla \cdot \Pi_{i} \end{bmatrix} + \frac{\nabla \zeta}{B \cdot \nabla \zeta} B_{T} \cdot \nabla \cdot \Pi_{i}$  $(\mu_{\parallel}^{\theta}, \mu_{\parallel}^{\zeta}, \mu_{T}^{\zeta}, \frac{B \cdot \nabla \cdot \Pi_{i}}{\rho_{M} < B^{2} >} \equiv \mu_{\parallel}^{\theta} \frac{\mu_{i}^{\tau} \cdot \nabla \theta}{B \cdot \nabla \theta} + \mu_{\parallel}^{\zeta} \frac{\mu_{i}^{\tau} \cdot \nabla \zeta}{B \cdot \nabla \zeta} + \mu_{\parallel q}^{\theta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \zeta}{p_{i}^{\theta} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta}{p_{i}^{\theta} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \xi} + \mu_{\parallel q}^{\theta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \xi} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \xi} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \xi} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \xi} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \xi} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\zeta} \frac{q}{p_{i}^{\tau} B \cdot \nabla \xi} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau} B \cdot \nabla \xi} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau} B \cdot \nabla \theta} + \mu_{\parallel q}^{\xi} \frac{q}{p_{i}^{\tau$ 

# Toroidal viscosity modifies the neoclassical polarization drift

- Neoclassical effects dominate MHD polarization drifts in the quasineutrality equation of high temperature tokamaks.  $\overset{r}{B} \cdot \nabla \frac{J_{\parallel}}{B} = -\nabla \cdot \frac{\ddot{B} \times \nabla \cdot \Pi_{i}}{B^{2}} = -\frac{\partial}{\partial \psi} [\frac{\ddot{B}_{T} \cdot \nabla \cdot \Pi}{qB \cdot \nabla \theta} - \frac{(1 - \delta)}{qB \cdot \nabla \theta} \overset{r}{B} \cdot \nabla \cdot \Pi]$  $\nabla \cdot \frac{\ddot{B} \times \nabla \cdot \Pi}{B^{2}} \cong \frac{\partial}{\partial \psi} \frac{\rho B^{2}}{q^{2} (B \cdot \nabla \theta)^{2}} [\frac{\partial \varphi}{\partial \psi} + \frac{T_{i}}{ne} \frac{\partial n}{\partial \psi} (1 + k\eta_{i})](-\mu_{D} + i\omega' f)$
- New element brought in by toroidal viscosity is a damping of the neoclassical polarization. (for  $\mu_{\parallel}^{\zeta} = \mu_{T}^{\theta} = 0$ ,  $\mu_{\parallel}^{\theta} > \omega$ )

$$\nabla \cdot \frac{\overset{1}{B} \times \nabla \cdot \Pi}{B^{2}} \cong \frac{\partial}{\partial \psi} K^{2} [\frac{\partial \varphi}{\partial \psi} + \frac{T}{nq} \frac{\partial n}{\partial \psi} (1 + k\eta_{i})] (-\mu_{T}^{\zeta} + i\omega' f)$$
$$K^{2} = \frac{\rho B^{2}}{q^{2} (B \cdot \nabla \theta)^{2}}, f = (1 - \delta)^{2} + \frac{\delta \mu_{T}^{\zeta}}{\mu_{\parallel}^{\theta}})$$

## **Time dependent viscosity**

- Conventional neoclassical theory calculates in the long time asymptotic regimes (t >>  $1/v_i$ )
- Some problems require understanding timescales short of the collision time
  - Finite frequency
  - NTM seed island formation problem
    - seeding often associated with a "fast" MHD event, e. g., sawtooth
    - temporal behavior of the polarization threshold.

#### Formulation of the problem is developing

Chapman-Enskog distribution function

 $f(\mathbf{x},\mathbf{v},t) = f_{M}(\mathbf{x},\mathbf{v},t) + F(\mathbf{x},\mathbf{v},t)$ 

- $f_M$ : flow shifted Maxwellian  $n(\mathbf{x},t)$ ,  $\mathbf{V}(\mathbf{x},t)$ ,  $T(\mathbf{x},t)$
- F: kinetic distortion; does not contribute to density, momentum and energy moments
- Time dependent drift kinetic equation

$$\frac{\partial F}{\partial t} + v_{\parallel} \overset{\Upsilon}{b} \cdot \nabla [F + v_{\parallel} B \frac{m}{T} f_{M} U] - \frac{1}{2} v_{\perp}(v) L(f) = v_{\parallel} [v_{o} + \frac{\dot{b} \cdot \nabla \cdot \Pi}{p}] f_{M}$$

 $v_0$  related to the collisional momentum restoring term

$$\int d^{3}v V_{o} = -\frac{m}{p} \int d^{3}v \frac{V_{\perp}}{2} v_{\parallel} L_{0}^{3/2} L(F)$$

Lowest order solution (bounce time)

$$F_0(v,\mu,\psi,t) = v_{\parallel}B\frac{m}{T}U(\psi,t)f_M + g(v,\mu,\psi,t)$$

## Perturbed distribution satisfies a partial differential equation involving time and pitch angle scattering

• Bounce averaging the next order solution yields an equation for g

$$\frac{\partial}{\partial t} \left[ -\langle B^2 \rangle \frac{m}{T} f_M U + \langle \frac{B}{v_{\parallel}} \rangle g \right] - \frac{1}{v} \left( v_{\perp} \frac{\partial}{\partial \lambda} \lambda \langle \frac{v_{\parallel}}{v} \rangle \frac{\partial g}{\partial \lambda} \right)$$
$$= \frac{v_{\perp}}{v_{th}^2} \langle B^2 \rangle \left[ \frac{V}{n} + U(1 - \frac{2m}{3T} v^2) \right] f_M + \frac{1}{p} \langle \frac{\Upsilon}{B} \cdot \nabla \cdot \Pi \rangle$$
Where
$$V = \int_{0}^{\lambda} d\lambda g$$

- Solution of g in terms of time dependent sources U,  $\langle \mathbf{B} \cdot \Pi \rangle$ 

• Similar procedure developed for describing neoclassical heat flux with multiple magnetic asymmetries lengthscales (Held, et al Phys. Plasmas 2001)

### Solution can be obtained using eigenfunctions of scattering operator

• The equation can be solved by using an expansion in eigenfunctions of the homogeneous equation in order to separate the speed and pitch angle dependencies

$$g(v,\mu,\psi,t) = \sum_{n=1}^{\infty} Y_n(v,\psi) \Lambda_n(\psi,\lambda)$$

• Where the eigenfunction equation satisfies

$$\frac{1}{\nu}\frac{\partial}{\partial\lambda}\lambda < \frac{\nu_{\parallel}}{\nu} > \frac{\partial\Lambda_n}{\partial\lambda} = \kappa_n < \frac{B}{\nu_{\parallel}} > \Lambda_n$$

And satisfies orthogonality conditions

$$\int_{0}^{\lambda} d\lambda < \frac{B}{v_{\parallel}} > \Lambda_{n} \Lambda_{m} = \delta_{n,m}$$

## Summary

- Parallel neoclassical viscosities poloidal flow damping, bootstrap currents, neoclassical modification to resistivity
  - Neoclassical tearing mode physics included in present day simulation tools
- Extensions of neoclassical theory are required to improve our understanding of various MHD phenomena
  - Torodial viscosities
    - Effect on toroidal flow evolution in the presence of 3-D perturbations
    - Neoclassical polarization physics
  - Time dependent viscosities
    - For use in time scales short of collision frequencies ( $\varepsilon \omega > v_i$ )
    - Seed island formation for NTM physics