

Lecture 10-Waves: Plasma Physics 1

**APPH E6101x
Columbia University**

Last Lecture

- Review of Piel Chapters 1-5 for Quiz

This Lecture

- Introduction to plasma waves
 - Basic review of electromagnetic waves in various media (conducting, dielectric, gyrotropic, ...)
 - Basic waves concepts (especially plane waves)
- Electromagnetic waves in *unmagnetized* plasma
- Electrostatic waves in *unmagnetized* plasma

Wave (Helmholtz) Equation

$$\frac{\partial^2 f}{\partial t^2} = c^2 \frac{\partial^2 f}{\partial x^2} \quad (\text{with } c \sim \text{constant})$$

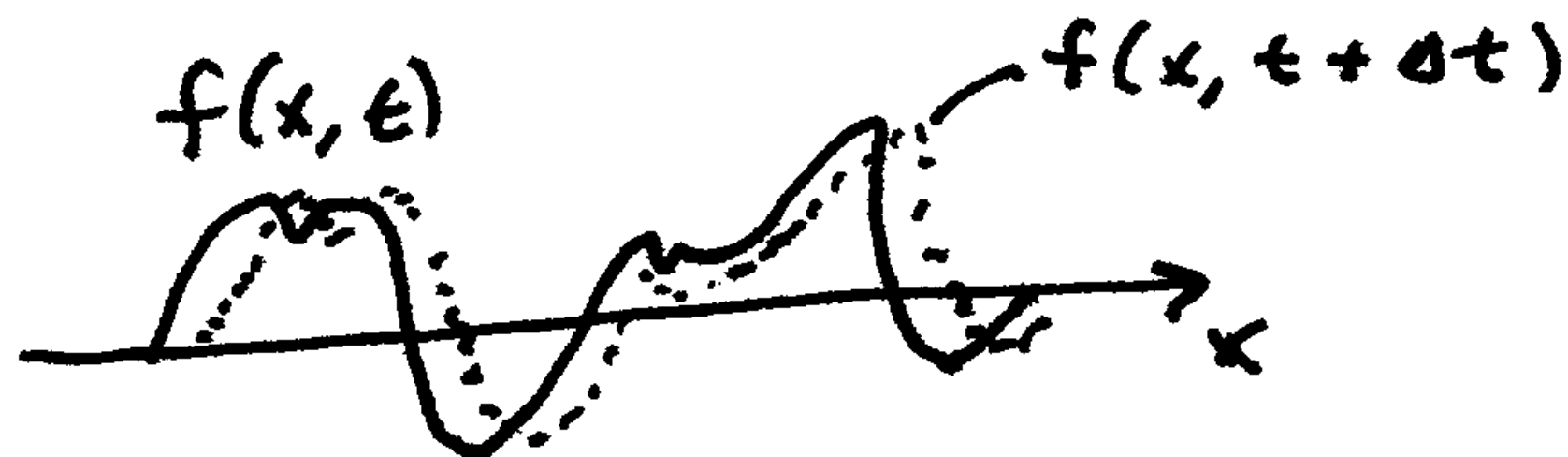
SOLUTION: $f(x, t) = f(x \pm ct)$

$$\frac{\partial f}{\partial t} = \pm c f'$$

$$\frac{\partial^2 f}{\partial t^2} = c^2 f''$$

$$\frac{\partial f}{\partial x} = f'$$

$$\frac{\partial^2 f}{\partial x^2} = f''$$

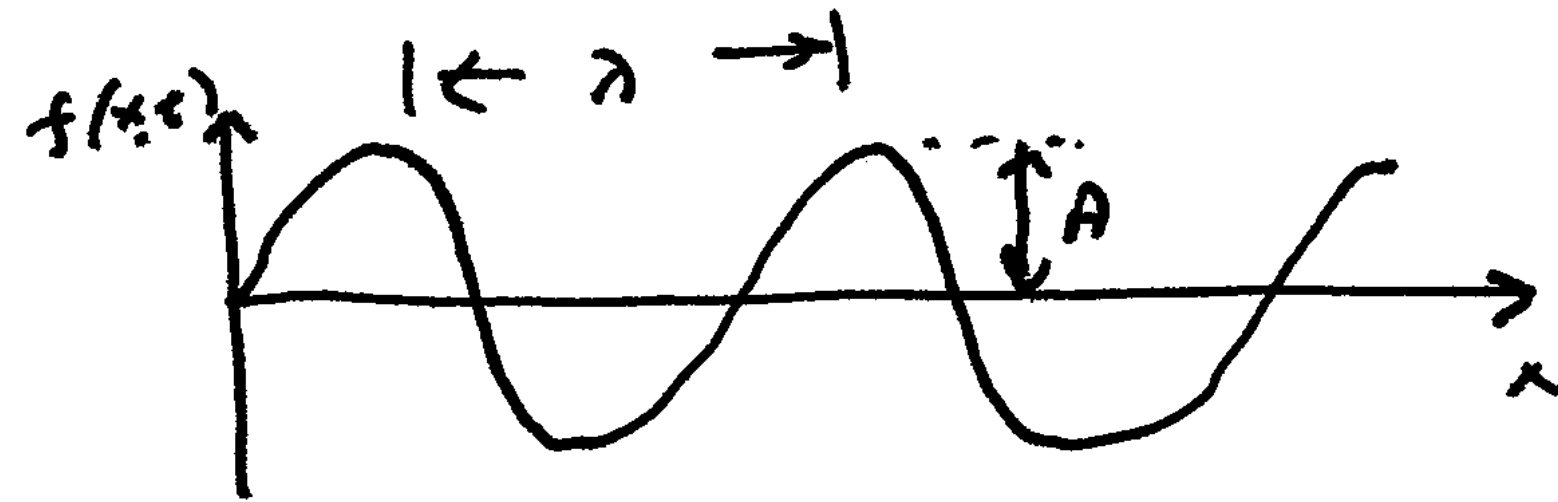


FOR (SIMPLE) HELMHOLTZ EQ,
WAVES MOVE AT CONSTANT
SPEED c WITHOUT DISTORTION!

Plane Waves

$$f(x, t) = A \sin\left(\frac{2\pi}{\lambda} (x \pm ct)\right)$$

Amplitude \uparrow $\frac{2\pi}{\lambda}$ \uparrow WAVELENGTH \uparrow SPEED



$$\text{FREQUENCY} = \omega = 2\pi \frac{c}{\lambda} \text{ RAD/SEC}$$

$$\left\{\frac{c}{\lambda}\right\} = \text{FREQUENCY IN HERTZ}$$

$$\varphi(x, t) \equiv \frac{2\pi}{\lambda} (x \pm ct) = \text{PHASE OF WAVE}$$

"PHASE FRONTS" ARE SURFACES OF CONSTANT PHASE

"PHASE FRONTS" MOVE AT SPEED c

$$\underline{c = \text{PHASE VELOCITY}}$$

$$\varphi = \mathbf{k} \cdot \mathbf{r} - \omega t$$

Wave Eq in Multiple Dimensions

$$\frac{\partial^2 f}{\partial t^2} = c^2 \nabla^2 f$$

PLANE WAVES:

$$f(x, y, z, t) = A \sin\left(\underbrace{\frac{2\pi}{\lambda_x} x + \frac{2\pi}{\lambda_y} y + \frac{2\pi}{\lambda_z} z - \omega t}_{\varphi(x, y, z, t) = \text{PHASE FRONT}}\right)$$

$$\vec{k} = \left(\frac{2\pi}{\lambda_x}, \frac{2\pi}{\lambda_y}, \frac{2\pi}{\lambda_z} \right)$$

= WAVE VECTOR

\vec{k} POINTS IN THE DIRECTION OF PHASE VELOCITY

$$f(x, y, z, t) = A \sin(\vec{k} \cdot \vec{r} - \omega t)$$

$$|\vec{c}| = \frac{\omega}{|\vec{k}|} \quad \vec{c} = \vec{k} \left(\frac{\omega}{k^2} \right) = \text{PHASE VELOCITY}$$

$$\varphi = \mathbf{k} \cdot \mathbf{r} - \omega t$$

$$\mathbf{E} = \hat{\mathbf{E}} \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)]$$

$$\mathbf{B} = \hat{\mathbf{B}} \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)]$$

$$\mathbf{j} = \hat{\mathbf{j}} \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)].$$

OTHER SOLUTIONS:

- SUM OF PLANE WAVES

Wave Eq in Cylindrical Coordinates

$$\frac{\partial^2 f}{\partial t^2} = c^2 \nabla^2 f$$

CYLINDRICAL COORDINATES:

$$\frac{\partial^2 f}{\partial t^2} = c^2 \left[\frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial f}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 f}{\partial \varphi^2} + \frac{\partial^2 f}{\partial z^2} \right]$$

CHOOSE SEPARATION OF VARIABLES

$$f(r, \varphi, z, t) \sim g(r) e^{\pm i m \varphi} e^{j k z} e^{-j \omega t}$$

$$\frac{\partial^2 f}{\partial \varphi^2} = -m^2 f$$

$$\frac{\partial^2 f}{\partial z^2} = -k^2 f$$

$$\frac{\partial^2 f}{\partial t^2} = -\omega^2 f$$

SO WAVE EQUATION IS:

$$\frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial g}{\partial r} \right) + \left(\frac{\omega^2}{c^2} - \frac{m^2}{r^2} - k^2 \right) g(r) = 0$$

CAN BE PUT
INTO

BESSEL'S EQUATION

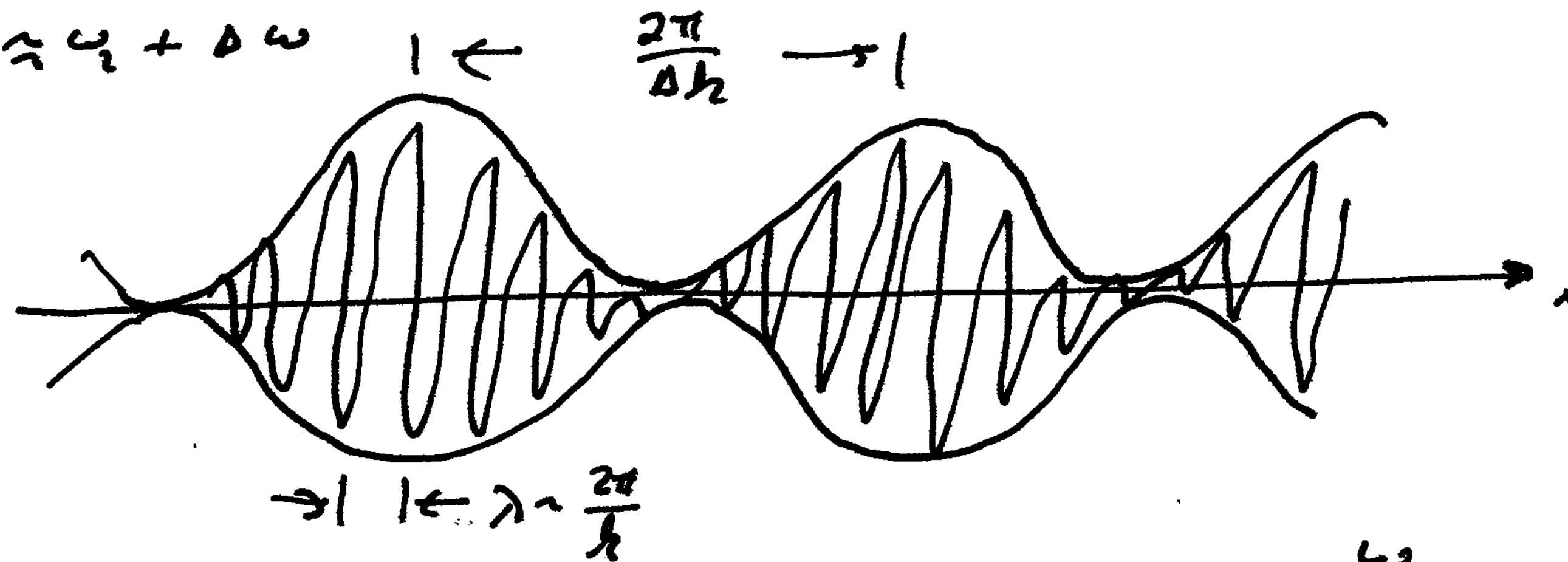
$$g(r) = J_m \left(\sqrt{\left(\frac{\omega}{c}\right)^2 - k^2} r \right) !$$

IF $f_1(x, t)$ AND $f_2(x, t)$ ARE SOLUTIONS TO HELMHOLTZ EQUATION, THEN $f_1 + f_2$ IS ALSO A SOLUTION. (THE EQUATION IS LINEAR!)

Wave Packets and Group Velocity

$$\begin{aligned} f(x, t) &= f_1(k_1 x - \omega_1 t) + f_2(k_2 x - \omega_2 t) \\ &= \sin(k_1 x - \omega_1 t) + \sin(k_2 x - \omega_2 t) \quad (\text{FOR EXAMPLE}) \\ &= 2 \cos\left(\frac{k_1 - k_2}{2} x - \frac{\omega_1 - \omega_2}{2} t\right) \sin\left(\frac{k_1 + k_2}{2} x - \frac{\omega_1 + \omega_2}{2} t\right) \end{aligned}$$

IF $k_1 \approx k_2 + \Delta k$
 $\omega_1 \approx \omega_2 + \Delta \omega$



WAVE PHASE FRONTS MOVE AT SPEED

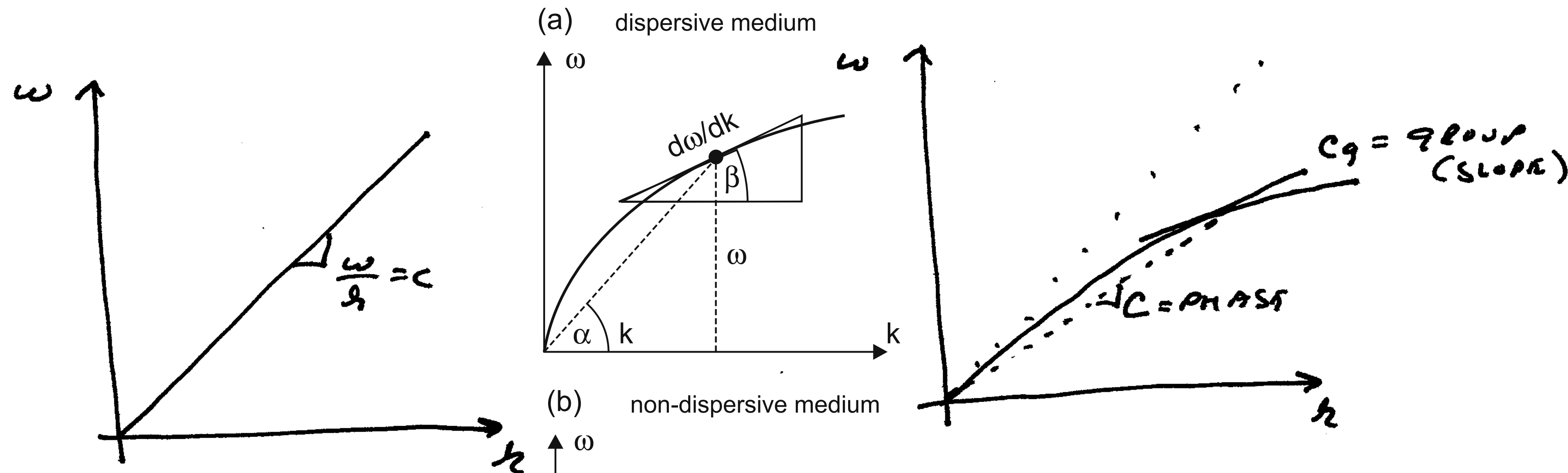
$$c = \frac{\omega}{|k|} = \text{PHASE VELOCITY}$$

WAVE PACKETS MOVE AT SPEED

$$c_g = \frac{\Delta \omega}{\Delta k} = \text{GROUP VELOCITY}$$

DISPERSIVE WAVES ARE WAVES WHOSE
 PHASE VELOCITY CHANGES WITH WAVELENGTH (OR
 FREQUENCY..

Wave Dispersion



NON-DISPERSIVE
 WAVE

- ALL WAVES MOVE AT
- GROUP VELOCITY EQUALS PHASE VELOCITY

EXAMPLE DISPERSIVE WAVE
 FOR THIS WAVE ...

- SHORT WAVELENGTHS MOVE SLOWER THAN LONG WAVELENGTHS
- WAVE PACKETS OF SHORT WAVELENGTH WAVE HAVING VERY SLOW GROUP VELOCITY

Phasors, Sine, Cosine

$$\nabla \times \mathbf{E} \rightarrow i\mathbf{k} \times \hat{\mathbf{E}}, \quad \nabla \cdot \mathbf{E} \rightarrow i\mathbf{k} \cdot \hat{\mathbf{E}}, \quad \frac{\partial}{\partial t} \mathbf{E} \rightarrow -i\omega \hat{\mathbf{E}}$$

$$\begin{aligned} \sin(kx - \omega t) &= \text{Re} \left\{ e^{i\frac{\pi}{2}} e^{-j(\omega t - kx)} \right\} & \text{PHASOR} &= e^{i\pi/2} \\ \cos(kx - \omega t) &= \text{Re} \left\{ e^{-j(\omega t - kx)} \right\} & \text{PHASOR} &= 1 \\ \cos(kx - \omega t + \theta) &= \text{Re} \left\{ e^{i\theta} e^{-j(\omega t - kx)} \right\} & \text{PHASOR} &= e^{i\theta} \end{aligned}$$

$$\int_0^\lambda \frac{dx}{\lambda} \cos(kx - \omega t) = \int_0^\lambda \frac{dx}{\lambda} \sin(kx - \omega t) = 0$$

$$\int_0^\lambda \frac{dx}{\lambda} \cos^2(kx - \omega t) = \int_0^{2\pi} \frac{d\xi}{2\pi} \cos^2(\xi - \omega t) = \frac{1}{2}$$

$$\int_0^\lambda \frac{dx}{\lambda} \cos(kx - \omega t) \cos(kx - \omega t + \theta) = \frac{1}{2} \cos(\theta)$$

$$\int_0^\lambda \frac{dx}{\lambda} \cos(kx - \omega t) \sin(kx - \omega t) = 0$$

$$\begin{aligned} &\text{IF } A = \text{PHASOR, THEN} \\ &\frac{1}{2}|A|^2 = \frac{1}{2}A^*A = \text{AVERAGE SQUARE} \\ &\text{OF } \text{Re} \left\{ A e^{-j(\omega t - kx)} \right\} \\ &|A|/\sqrt{2} \equiv \text{RMS OF } A \end{aligned}$$

PDE's become algebraic!



Review of EM Waves

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

$$\nabla \times \mathbf{B} = \mu_0 \left(\mathbf{j} + \varepsilon_0 \frac{\partial \mathbf{E}}{\partial t} \right)$$

$$\nabla \times (\nabla \times \mathbf{E}) = -\nabla \times \frac{\partial \mathbf{B}}{\partial t}$$

$$= -\frac{\partial}{\partial t} (\nabla \times \mathbf{B})$$

$$= -\mu_0 \varepsilon_0 \frac{\partial^2 \mathbf{E}}{\partial t^2} - \mu_0 \frac{\partial \mathbf{j}}{\partial t}$$

Review of EM Waves

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

$$\nabla \times \mathbf{B} = \mu_0 \left(\mathbf{j} + \varepsilon_0 \frac{\partial \mathbf{E}}{\partial t} \right)$$



$$\varepsilon_0 \frac{\partial \mathbf{E}}{\partial t} + \mathbf{j} = \varepsilon_0 \bar{\varepsilon}(\omega) \frac{\partial \mathbf{E}}{\partial t}$$

Dielectric tensor

$$\mathbf{j}(\omega) = \bar{\sigma}(\omega) \cdot \mathbf{E}(\omega)$$

$$\bar{\varepsilon}(\omega) = \bar{1} + \frac{\mathbf{i}}{\omega \varepsilon_0} \bar{\sigma}(\omega)$$

Review of EM Waves

All of the
plasma
physics here

$$\nabla \times (\nabla \times \mathbf{E}) + \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = -\mu_0 \frac{\partial \mathbf{j}}{\partial t}$$

$$i\mathbf{k} \times \hat{\mathbf{E}} = i\omega \hat{\mathbf{B}}$$

$$i\mathbf{k} \times \hat{\mathbf{B}} = -i\omega \epsilon_0 \mu_0 \hat{\mathbf{E}} + \mu_0 \hat{\mathbf{j}}_0$$

$$\mathbf{k} \times (\mathbf{k} \times \hat{\mathbf{E}}) = (\mathbf{k}\mathbf{k} - k^2 \hat{\mathbf{I}}) \hat{\mathbf{E}}$$

$$\left\{ \mathbf{k}\mathbf{k} - k^2 \mathbf{I} + \frac{\omega^2}{c^2} \mathbf{I} + i\omega\mu_0 \sigma(\omega) \right\} \cdot \hat{\mathbf{E}} = 0$$

$$\left\{ \mathbf{k}\mathbf{k} - k^2 \mathbf{I} + \frac{\omega^2}{c^2} \epsilon(\omega) \right\} \cdot \hat{\mathbf{E}} = 0$$

Normal Modes (“Dispersion Relation”)

$$\begin{pmatrix} k_x k_x - k^2 + \frac{\omega^2}{c^2} \epsilon_{xx} & k_x k_y + \frac{\omega^2}{c^2} \epsilon_{xy} & k_x k_z + \frac{\omega^2}{c^2} \epsilon_{xz} \\ k_y k_x + \frac{\omega^2}{c^2} \epsilon_{yx} & k_y k_y - k^2 + \frac{\omega^2}{c^2} \epsilon_{yy} & k_y k_z + \frac{\omega^2}{c^2} \epsilon_{yz} \\ k_z k_x + \frac{\omega^2}{c^2} \epsilon_{zx} & k_z k_y + \frac{\omega^2}{c^2} \epsilon_{zy} & k_z k_z - k^2 + \frac{\omega^2}{c^2} \epsilon_{zz} \end{pmatrix} \cdot \begin{pmatrix} \hat{E}_x \\ \hat{E}_y \\ \hat{E}_z \end{pmatrix} = 0. \quad (6.28)$$

$$0 = D(\omega, \mathbf{k}) = \det \left[\mathbf{k}\mathbf{k} - k^2 \mathbf{I} + \frac{\omega^2}{c^2} \boldsymbol{\epsilon}(\omega) \right]. \quad (6.29)$$

Waves in Unmagnetized Plasma

Collisionless
(reactive)

$$m \frac{d\mathbf{v}}{dt} = q \hat{\mathbf{E}} e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$$

90° out of phase

$$\hat{\mathbf{j}} = nq\hat{\mathbf{v}} = i \frac{ne^2}{\omega m} \hat{\mathbf{E}}$$

$$\sigma_{xx} = \sigma_{yy} = \sigma_{zz} = i \frac{ne^2}{\omega m}$$

$$\epsilon_{xx} = \epsilon_{yy} = \epsilon_{zz} = 1 + \frac{i}{\omega \epsilon_0} \sigma_{yy} = 1 - \frac{\omega_{pe}^2}{\omega^2}$$

Collisional
(resistive)

$$m (-i\omega + \nu_m) \hat{v} = q \hat{E}$$

$$\hat{v} = \left[\frac{\nu_m}{\omega^2 + \nu_m^2} + \frac{i\omega}{\omega^2 + \nu_m^2} \right] \frac{q}{m} \hat{E}$$

In phase
(low-frequency)

$$\omega_{pe} = \left(\frac{ne^2}{\epsilon_0 m_e} \right)^{1/2}$$

Waves in Unmagnetized Plasma

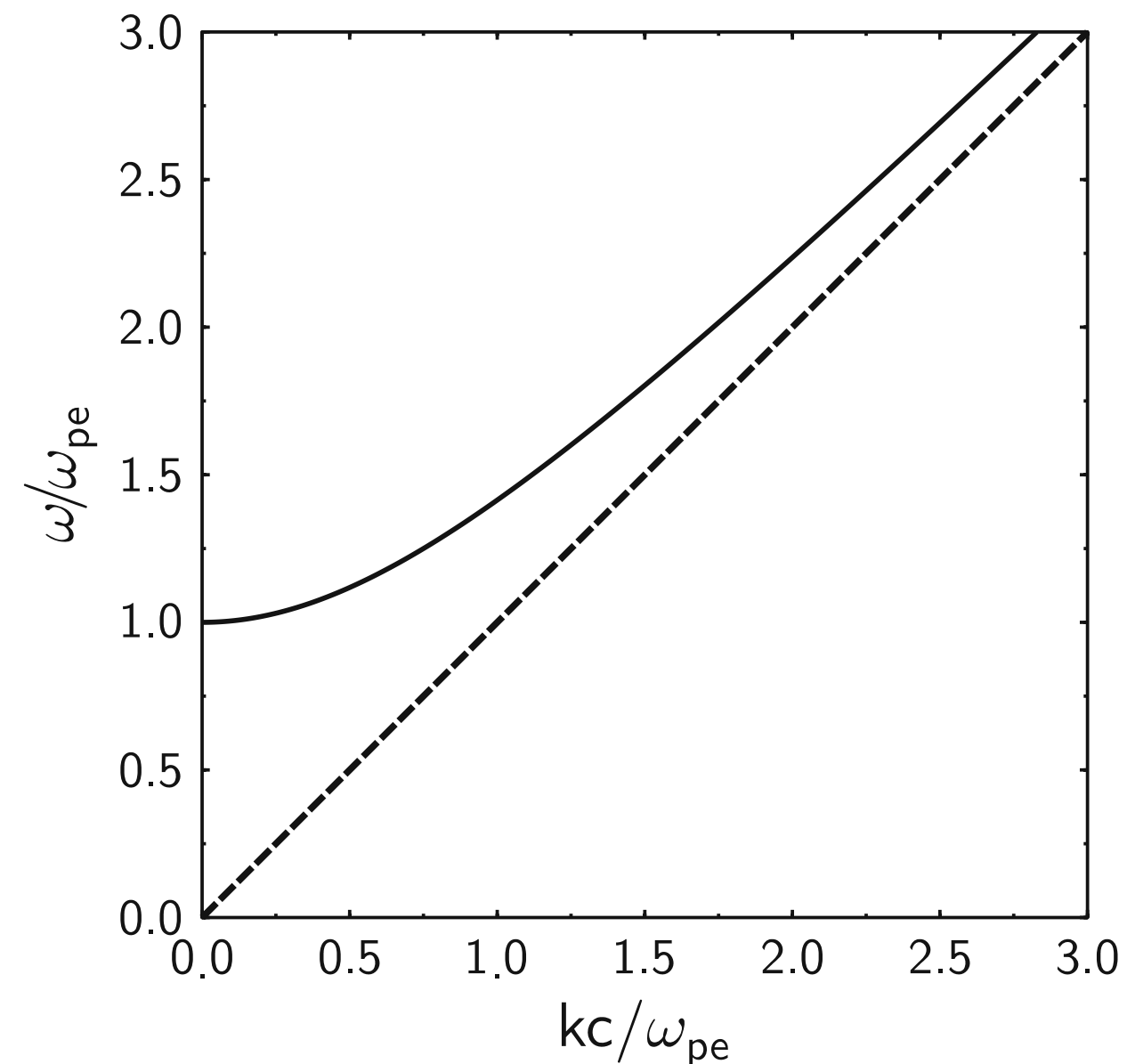
$$0 = D(\omega, \mathbf{k}) = \det \left[\mathbf{k}\mathbf{k} - k^2 \mathbf{I} + \frac{\omega^2}{c^2} \boldsymbol{\epsilon}(\omega) \right] \quad \mathbf{k} = \{k_x, 0, 0\}$$

Longitudinal \nearrow
vs.
Transverse \longrightarrow

$$\begin{pmatrix} \frac{\omega^2}{c^2} \left(1 - \frac{\omega_{\text{pe}}^2}{\omega^2}\right) & 0 & 0 \\ 0 & -k^2 + \frac{\omega^2}{c^2} \left(1 - \frac{\omega_{\text{pe}}^2}{\omega^2}\right) & 0 \\ 0 & 0 & -k^2 + \frac{\omega^2}{c^2} \left(1 - \frac{\omega_{\text{pe}}^2}{\omega^2}\right) \end{pmatrix} \cdot \begin{pmatrix} \hat{E}_x \\ \hat{E}_y \\ \hat{E}_z \end{pmatrix} = 0.$$

(6.35)

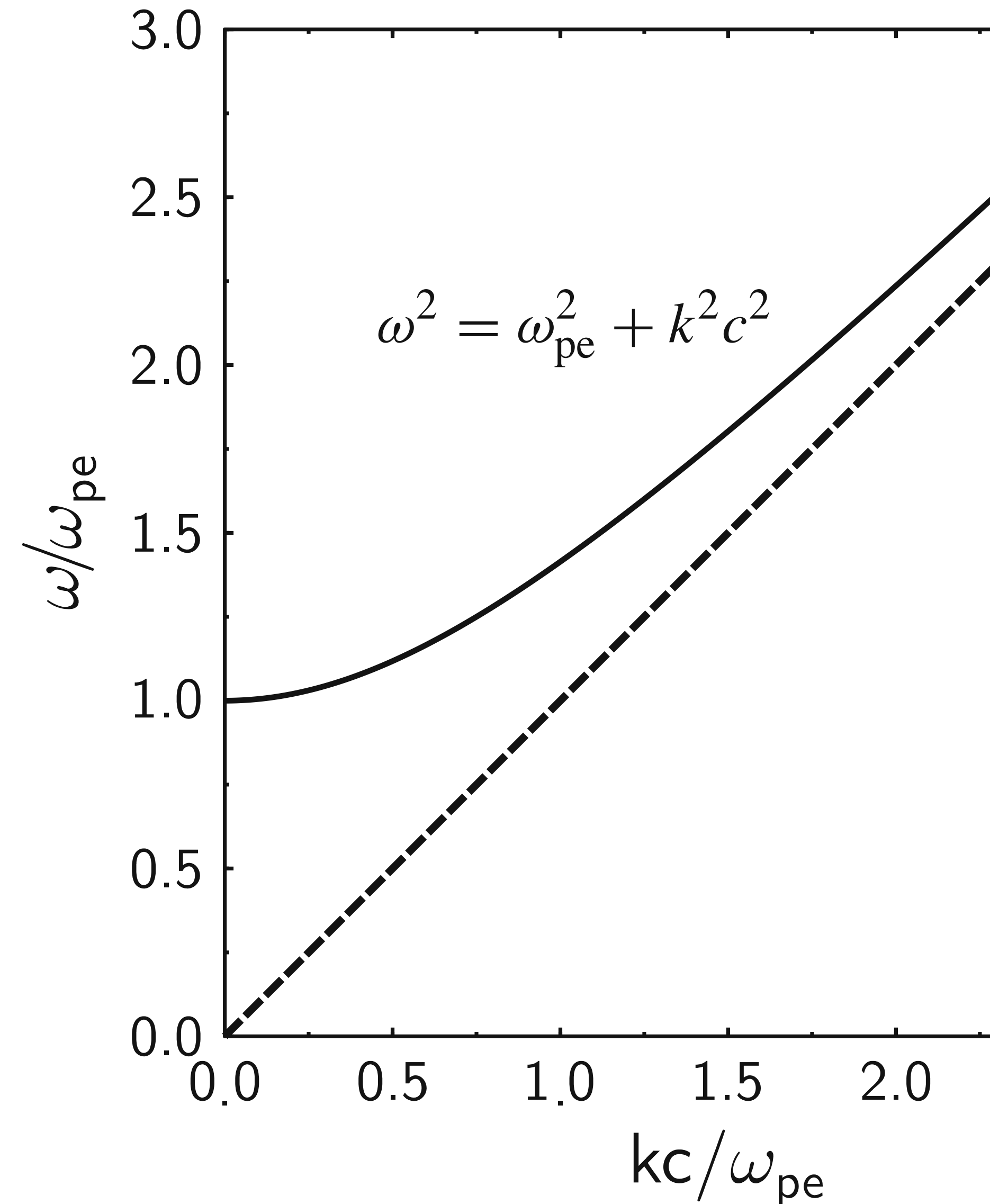
$$\omega^2 = \omega_{\text{pe}}^2 + k^2 c^2$$



$$v_{\varphi} = \left(\frac{\omega_{\text{pe}}^2}{k^2} + c^2 \right)^{1/2}$$

$$v_{\text{gr}} = \frac{kc^2}{\left(\omega_{\text{pe}}^2 + k^2 c^2 \right)^{1/2}}$$

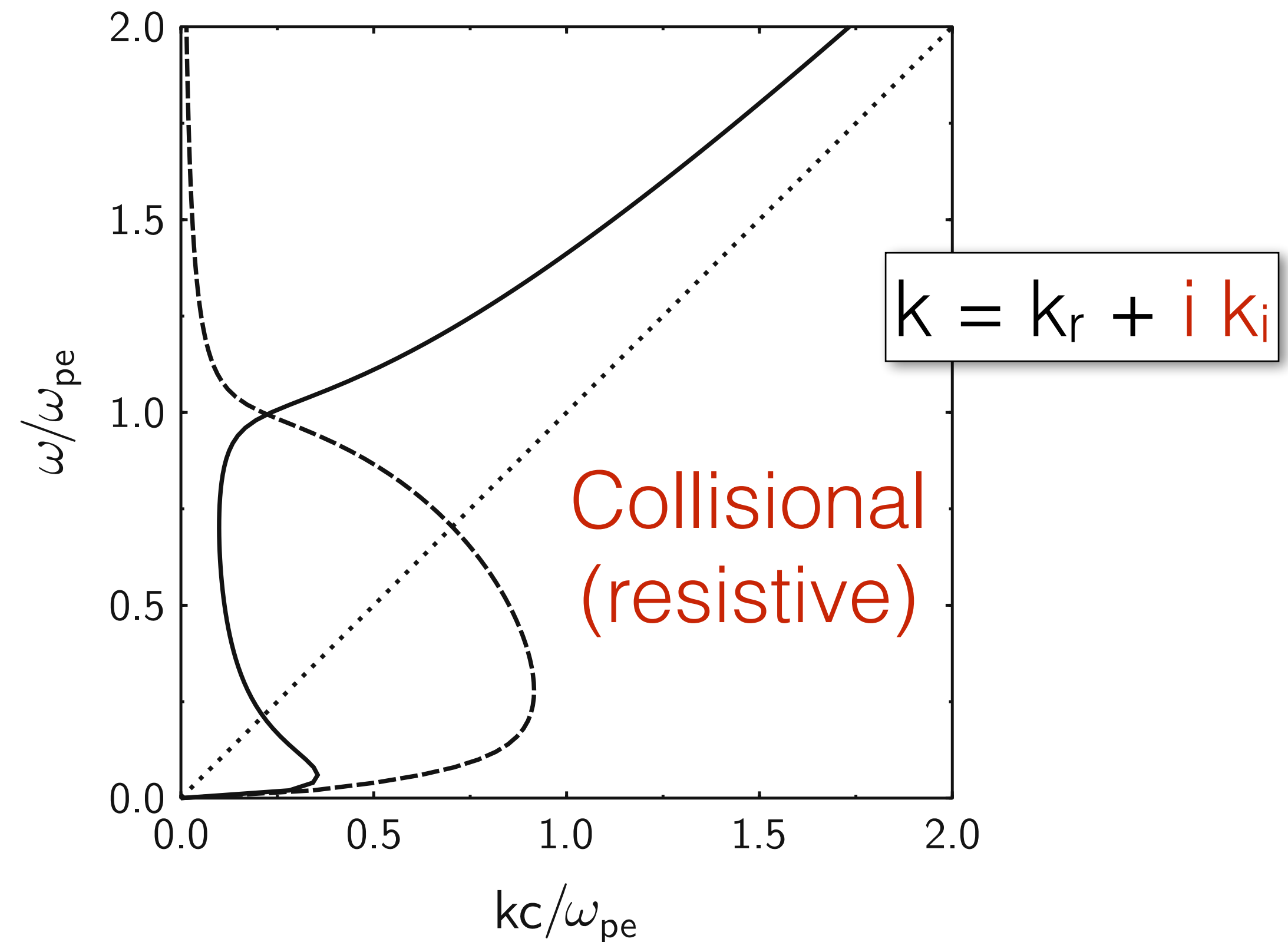
Waves in Unmagnetized Plasma



$$m(-i\omega + \nu_m) \hat{v} = q \hat{E}$$

$$\hat{v} = \left[\frac{\nu_m}{\omega^2 + \nu_m^2} + \frac{i\omega}{\omega^2 + \nu_m^2} \right] \frac{q}{m} \hat{E}$$

$$k = \frac{1}{c} \left(\omega^2 - \frac{\omega_{pe}^2}{1 + i(\nu_m/\omega)} \right)^{1/2}$$



Interferometry

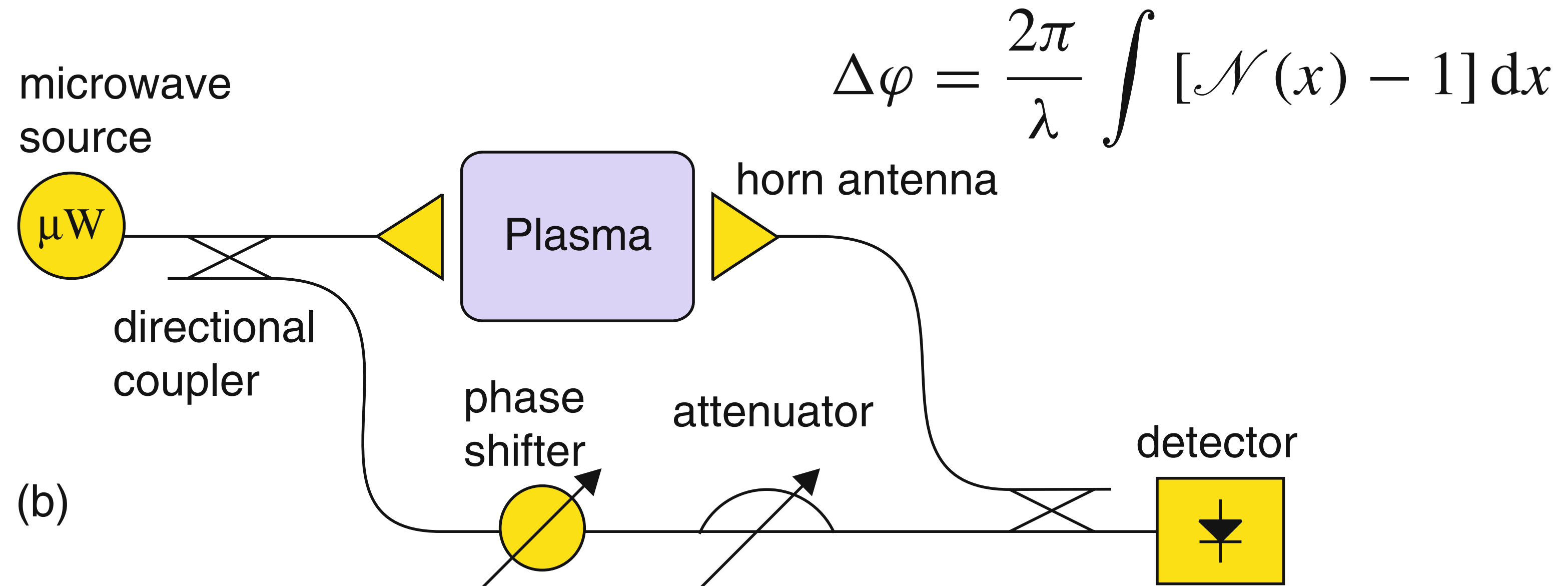
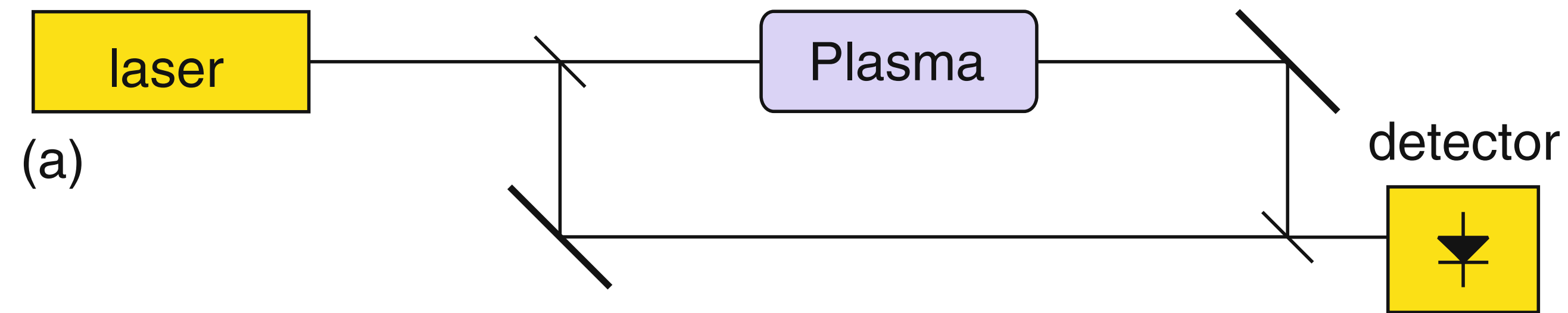


Fig. 6.5 (a) Laser interferometer in Mach-Zehnder arrangement, (b) microwave interferometer. The optical arrangement uses partially-reflecting and fully-reflecting mirrors. The analog to a partially reflecting mirror is the directional coupler for microwaves

Interferometry

$$\Delta\varphi = \frac{2\pi}{\lambda} \int [\mathcal{N}(x) - 1] dx$$

$$\mathcal{N} = \sqrt{1 - \omega_{\text{pe}}^2/\omega^2} \approx 1 - \frac{1}{2} \frac{\omega_{\text{pe}}^2}{\omega^2} = 1 - \frac{1}{2} \frac{n}{n_{\text{co}}}$$

Table 6.1 Cut-off densities for microwave and laser interferometers

Source	Wavelength λ	Frequency f	Cut-off-density $n_{\text{co}}(\text{m}^{-3})$
Microwave	3 cm	10 GHz	1.2×10^{18}
	8 mm	37 GHz	1.7×10^{19}
	4 mm	75 GHz	7.0×10^{19}
HCN-laser	337 μm	890 GHz	9.8×10^{21}
CO ₂ laser	10.6 μm	28 THz	9.9×10^{24}
He-Ne laser	3.39 μm	88 THz	9.7×10^{25}
	0.633 μm	474 THz	2.8×10^{27}

Interferometry

$$\Delta\varphi = \frac{2\pi}{\lambda} \int [\mathcal{N}(x) - 1] dx$$

$$\mathcal{N} = \sqrt{1 - \omega_{pe}^2/\omega^2} \approx 1 - \frac{1}{2} \frac{\omega_{pe}^2}{\omega^2} = 1 - \frac{1}{2} \frac{n}{n_{co}}$$

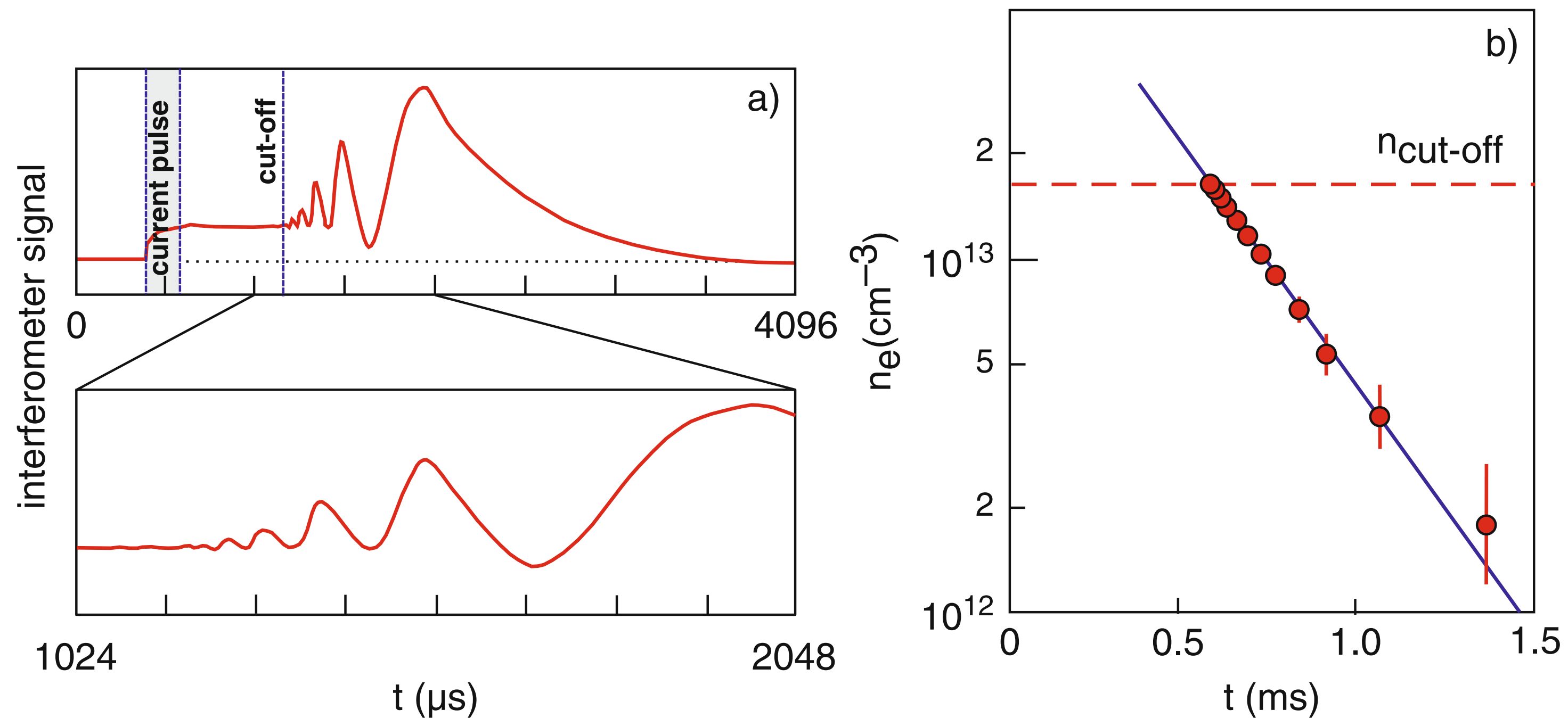


Fig. 6.6 (a) Interferogram in a pulsed gas discharge. (b) Reconstruction of the decaying electron density by counting interferometer *fringes*

Electrostatic Waves

$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$$

$$-\nabla \cdot \nabla \Phi = \frac{\rho}{\epsilon_0}$$

$$k^2 \Phi = \frac{\rho}{\epsilon_0} \approx -\frac{en_e}{\epsilon_0}$$

$$\frac{\partial n}{\partial t} + \frac{\partial}{\partial x}(nv) = 0$$

$$m\dot{v} = -q \frac{d\phi}{dx} - \frac{\gamma}{n} \frac{d(nk_B T)}{dx}$$

Electron Pressure
Force



$$nm \left(\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} \right) = nq(\mathbf{E} + \mathbf{u} \times \mathbf{B}) - \nabla p. \quad (5.28)$$

Electrostatic Plasma Waves

$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$$

$$-\nabla \cdot \nabla \Phi = \frac{\rho}{\epsilon_0}$$

$$k^2 \Phi = \frac{\rho}{\epsilon_0} \approx -\frac{en_e}{\epsilon_0}$$


$$\frac{\partial n}{\partial t} + \frac{\partial}{\partial x}(nv) = 0$$

$$-i\omega \hat{n} + ikn_0 \hat{v} = 0$$

$$m\dot{v} = -q \frac{d\phi}{dx} - \frac{\gamma}{n} \frac{d(nk_B T)}{dx}$$

$$-i\omega m \hat{v} = -ikq \hat{\phi} - ik\gamma k_B T \hat{n}$$

Linearized Electron Pressure
Force in “isothermal” plasma



Electrostatic Plasma Waves

$$\omega = \left(\omega_{\text{pe}}^2 + \underbrace{\frac{3}{2}k^2 v_{\text{Te}}^2}_{\text{Electron Pressure Force}} \right)^{1/2} = \omega_{\text{pe}} \left(1 + \underbrace{3k^2 \lambda_{\text{De}}^2}_{\text{Electron Pressure Force}} \right)^{1/2}$$

Electrostatic Ion Sound Waves

Ion Pressure
Force

$$-i\omega m_i \hat{v}_i = e\hat{E} - \frac{ik}{n_{i0}} (\gamma_i k_B T_i) \hat{n}_i$$

$$\hat{n}_i = \frac{ek}{-i\omega^2 m_i + ik^2 \gamma_i k_B T_i} \hat{E}$$



Look!

$$0 = -e\hat{E} - \frac{ik}{n_{e0}} (k_B T_e) \hat{n}_e$$

$$\hat{n}_e = \frac{-e}{ik k_B T_e} \hat{E},$$

Electron Pressure
Force

No electron acceleration

$$k^2 \Phi = \frac{\rho}{\epsilon_0} \approx \frac{e}{\epsilon_0} (n_i - n_e)$$

$$ik\hat{E} = \left(\frac{n_{i0}e^2}{\epsilon_0 m_i} \right) \frac{k}{-i\omega^2 + ik^2 \gamma_i k_B T_i / m_i} \hat{E} + \left(\frac{n_{e0}e^2}{\epsilon_0 k_B T_e} \right) \frac{1}{ik} \hat{E}$$

Electrostatic Ion Sound Waves

$$\varepsilon(k, \omega) = 1 - \frac{\omega_{\text{pi}}^2}{\omega^2 - k^2 \gamma_i k_B T_i / m_i} + \frac{1}{k^2 \lambda_{\text{De}}^2}$$

$$\omega^2 = k^2 \left(\frac{\gamma_i k_B T_i}{m_i} + \frac{\omega_{\text{pi}}^2 \lambda_{\text{De}}^2}{1 + k^2 \lambda_{\text{De}}^2} \right)$$

Why is $T_e/T_i \gg 1$ important?

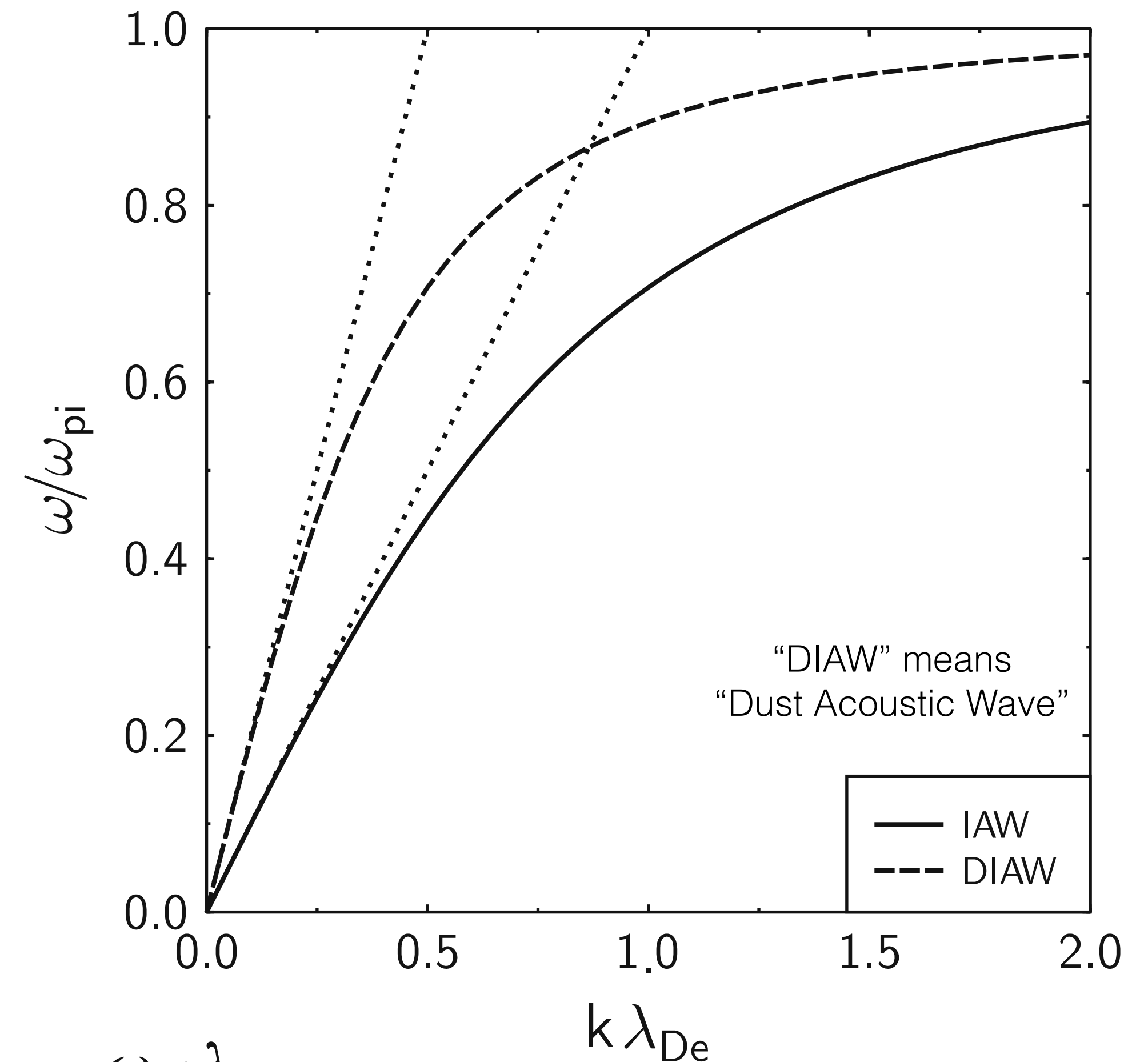
$$\omega \approx \frac{k C_s}{\sqrt{1 + k^2 \lambda_{\text{De}}^2}}$$



$$T_e \gg T_i$$



$$C_s = \omega_{\text{pi}} \lambda_{\text{De}}$$



Important Wave Concepts

- Linear vs. nonlinear
- Dispersion
- Phase and group velocity
- “Polarization” and wave structure

$$W = \frac{1}{2} \int_V dV (\mathbf{H} \cdot \mathbf{B} + \mathbf{E} \cdot \mathbf{D})$$

$$\frac{\partial W}{\partial t} + \int_S \mathbf{N} \cdot d\mathbf{S} = - \int_V dV \mathbf{J} \cdot \mathbf{E},$$

➡ **Energy & intensity (Poynting's Theorem)**

- *Inhomogeneity*

Next Lecture

- Chapter 6: “Plasma Waves”
 - Waves in magnetized plasma
 - Inhomogenous plasma (part 1)

